



6,996,033

cogc

Docket: 14445.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-----------------------|---|-----------------|------------|
| First Named Inventor: | Matthew P. Dugas | Examiner: | Alan Faber |
| Appln. No.: | 10/600,561 | Group Art Unit: | 2651 |
| Filed: | June 19, 2003 | | |
| Title: | OPTICAL PATH FOR A THERMAL-ASSISTED MAGNETIC RECORDING HEAD | | |

REQUEST FOR CERTIFICATE OF CORRECTION
(UNDER 37 CFR 1.322)

Certificate of Correction Branch
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 1 day of May, 2006.

She Herber
(Signature)

Dear Sir:

A Certificate of Correction under 35 U.S.C. § 254 is hereby requested for the above-identified patent. The error is of minor character, occurred in good faith, and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. Applicant hereby requests the issuance of a Certificate of Correction.

Attached hereto is Form PTO-1050, in duplicate, to be used for the Certificate of Correction. At least one copy of the Form PTO-1050 is suitable for printing. The Commissioner is respectfully solicited to grant this request for a Certificate of Correction addressing the errors noted herein.

ificate
MAY 08 2006
of Correction

05/04/2006 NHGUYEN1 00000016 6996033
01 FC:1811

100.00 OP

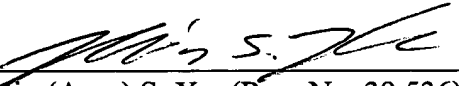
MAY 8 2006

Pursuant to 37 C.F.R. § 1.323, a check in the amount of \$100.00 is enclosed. It is believed no further petitions or fees are due with respect to the filing of this Request for Certificate of Correction. Should there be any fees deficiency associated with this Request, however, the Commissioner is hereby authorized to charge Deposit Account No. 04-1420.

Respectfully submitted,

DORSEY & WHITNEY LLP
Customer Number 25763

Date: May 1, 2006

By: 
Min (Amy) S. Xu, (Reg. No. 39,536)
(612) 752-7367

MAY 8 2006

Effective on 12/08/04

Complete if Known

FEE TRANSMITTAL SHEET (FY 2005)

| | |
|---------------------|------------------|
| Application No. | 10/600,561 |
| Filing Date | June 19, 2003 |
| First Inventor | Matthew P. Dugas |
| Group Art Unit | 2651 |
| Examiner Name | Alan Faber |
| Atty. Docket Number | 14445.01 |

☒ Applicant claims small entity status (see 37 C.F.R. 1.27)**METHOD OF PAYMENT (Check One)**☒ Check ☐ Credit Card ☐ Money Order☒ Deposit Account No.: **04-1420**Deposit Account Name: **DORSEY & WHITNEY LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge any additional fees or underpayments of fee(s) under 37 C.F.R. 1.16 and 1.17☐ Credit any overpayments☐ Other (please identify):**Extra Claim Fees**

| Current Claims | Prior | Extra | Fee | Fee Paid |
|-----------------------------|-------|-------|------|-----------|
| Total | - 20 | = | x \$ | = \$ |
| Ind. | - 3 | = | x \$ | = \$ |
| Multiple Dependent Claims | | = | x \$ | = \$ |
| Total (Extra Claims) | | | | \$ |

Petition Fee Under 37 CFR 1.17(f), (g), & (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

☐ Petition Fee under 37 CFR 1.17(f) **Fee \$400**

§ 1.53(e) to accord a filing date.
 § 1.57(a) to accord a filing date.
 § 1.182 for decision on a question not provided for.
 § 1.183 to suspend the rules.
 § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.
 § 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.

☐ Petition Fee under 37 CFR 1.17(g) **Fee \$200**

§ 1.12 for access to an assignment record.
 § 1.14 for access to an application.
 § 1.47 for filing by other than all inventors or person not the inventor.
 § 1.59 for expungement of information.
 § 1.103(a) to suspend action in an application.
 § 1.136(b) for review of a request for ext. of time when §1.136(a) not avail.
 § 1.295 for review of refusal to publish a statutory invention registration.
 § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.
 § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.
 § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.
 § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.
 § 5.12 for expedited handling of foreign filing license.
 § 5.15 for changing the scope of a license.
 § 1.5.25 for retroactive license.

☐ Petition Fee under 37 CFR 1.17(h) **Fee \$130**

§ 1.19(g) to request documents in a form other than provided in this part.
 § 1.84 for accepting color drawings or photographs.
 § 1.91 for entry of a model or exhibit.
 § 1.102(d) to make an application special.
 § 1.138(c) to expressly abandon an application to avoid publication.
 § 1.313 to withdraw an application from issue.
 § 1.314 to defer issuance of a patent.

FEE CALCULATION (Continued)**3. ADDITIONAL FEES**

| Large Entity Fee | Small Entity Fee | Fee Description | Fee paid |
|------------------|------------------|--|----------|
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 65 | Surcharge - Late nonprovisional filing fee or oath | |
| 180 | 180 | Submission of IDS | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 120 | 60 | Extension for reply within first month | |
| 450 | 225 | Extension for reply within second month | |
| 1,020 | 510 | Extension for reply within third month | |
| 1,590 | 795 | Extension for reply within fourth month | |
| 2,160 | 1,080 | Extension for reply within fifth month | |
| 790 | 395 | Submission After Final 1.129 | |
| 500 | 250 | Notice of Appeal | |
| 500 | 250 | Filing a brief in support of an appeal | |
| 1,000 | 500 | Request for oral hearing | |
| 130 | 65 | Terminal Disclaimer Fee | |
| 1,400 | 700 | Utility & Reissue Issue Fee | |
| 800 | 400 | Design Issue Fee | |
| 790 | 395 | Request for Continued Examination (RCE) | |
| 300 | | Request for voluntary publication or republication | |
| 500 | 250 | Petition to Revive - unavoidable | |
| 1,500 | 750 | Petition to Revive - unintentional | |
| 200 | | Filing for patent term adjustment | |
| 400 | | Request for reinstatement of term reduced | |
| 1,120 | | Extension of term of patent | |

| | | |
|---------------------|---------------------------|----------|
| OTHER FEE (specify) | Certificate of Correction | \$100.00 |
|---------------------|---------------------------|----------|

Subtotal (Additional Fees) \$100.00**Total Amount of Payment: \$100.00**

Submitted by:

CUSTOMER NUMBER

25763

DORSEY & WHITNEY LLP

Name: **Min (Amy) S. Xu**Phone No.: **(612) 752-7367**Fax No.: **(612) 340-8856**Signature: Reg. No.: **39,536**Date: **May 1, 2006**

MAY 8 2006

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 6,996,033
DATE : February 7, 2006
INVENTORS : Matthew P. Dugas

It is hereby certified that an error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

With regards to the above-referenced U.S. Patent No. 6,996,033, this Request is filed to include the statement regarding federally sponsored research or development and the U.S. Government Agreement Number regarding the same.

Column 1, line 13, after "entirety," and before the heading "FIELD OF INVENTION" insert a new heading and a new paragraph to read as follows:

"STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

This invention was made with United States Government support under Agreement No. 70NANB1H3056 awarded by the U.S. Department of Commerce, National Institute of Standards and Technology (NIST), Advanced Technology Program. The United States Government has certain rights in the invention."

Customer Number 25763

MAILING ADDRESS OF SENDER:

Min (Amy) S. Xu
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Intellectual Property Department
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498

PATENT NO.: 6,996,033 B2

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 6,996,033
DATE : February 7, 2006
INVENTORS : Matthew P. Dugas

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Customer Number 25763

MAILING ADDRESS OF SENDER:

PATENT NO.: 6,996,033 B2

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